PATENT APPLICATION
Section 2

IN THE U.S. PATENT AND TRADEMARK OFFICE

August 3, 2001
DA

Applicant(s) : Toshiaki MOTONAGA et al.
For : HALFTONE PHASE SHIFTING PHOTOMASK AND BLANKS FOR HALFTONE PHASE SHIFTING PHOTOMASK THEREFOR AND A METHOD FOR FORMING PATTERN BY USING THE HALFTONE PHASE SHIFTING PHOTOMASK
Serial No. : 09/825 578 Group: 2622
Filed : April 3, 2001 Examiner: Unknown
Atty. Docket No.: OPS Case 529
Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE

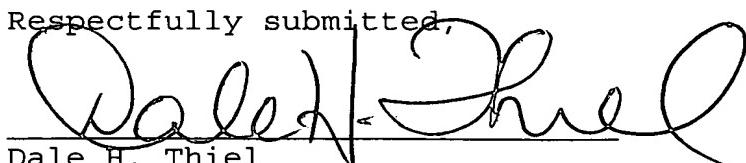
This is a response to the Patent Office communication mailed June 4, 2001, a copy of which is enclosed.

(Please see following pages.)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on August 3.

Respectfully submitted,


Dale H. Thiel

Pursuant to 37 CFR 1.52, 1.53 and/or 1.62, this application was filed without at least one of the filing fee, the English language text and/or the oath or declaration, and was granted the serial number and filing date set forth in the caption of this response. Accordingly, the items listed below are being submitted herewith:

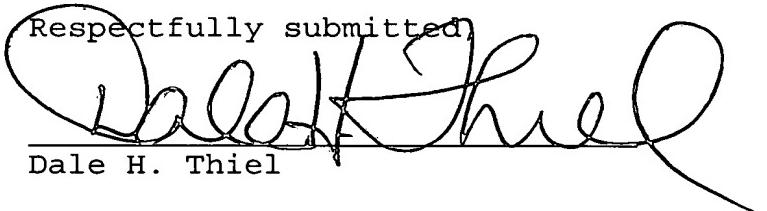
- [X] Copy of PTO communication mailed June 4, 2001.
- [X] Declaration or Oath
- [X] Attached to the Declaration or Oath is a copy of the specification which was filed in the Patent Office in order to obtain a filing date for this application.
- [] Verified English translation of originally-filed foreign language text.
- [] Statement(s) re small entity (37 CFR 1.9 and 1.27):
- [] submitted previously
- [] _____ Statement(s) submitted herewith
- [X] Information Disclosure Statement.
- [X] A check for \$1,876.00 to cover fees.
- [X] Acknowledgment Postal Card
- [X] Priority is claimed under 35 USC 119 based on Japanese Application No. 2000-101907, filed April 4, 2000.
- [] A certified copy of the priority application is enclosed.
- [X] The filing fee is as follows:
- [] Design Application - [] \$320.00 (large entity)
[] \$160.00 (small entity)
- [X] Utility Application - as calculated below:

For	No. Filed	No. Extra	(X) LG Entity	RATE ()	SM Entity	Fee
Basic Fee			\$710.00		\$355.00	\$710.00
Total Claims	(27 - 20 = 7)		x \$ 18.00		x \$ 9.00	126.00
Indep. Claims	(11 - 3 = 8)		x \$ 80.00		x \$ 40.00	640.00
[X] Multiple Dep. Claim			+ \$270:00		+ \$135.00	270.00
* * * TOTAL FILING FEE * * *						\$1,746.00

- [X] The surcharge under 37 CFR 1.16(e) for late filing of the basic filing fee and/or the oath or declaration is as follows:
- [x] \$130.00 (large entity)
- [] \$ 65.00 (small entity)

- [] The fee under 37 CFR 1.17(i) for filing a foreign language text:
- [] was submitted previously.
- [] is \$130.00 and is included in an enclosed check.
- [] Please charge my Deposit Account No. 06-1382 in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.
- [X] Any additional filing fees required under 37 CFR 1.16
- [X] Any patent application processing fees under 37 CFR 1.17
- [] The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.
- [] Any patent application processing fees under 37 CFR 1.17
- [] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,


Dale H. Thiel

IN DUPLICATE

DHT/jp

FLYNN, THIEL, BOUTELL
& TANIS, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1699
Phone: (616) 381-1156
Fax: (616) 381-5465

Dale H. Thiel	Reg. No. 24 323
David G. Boutell	Reg. No. 25 072
Ronald J. Tanis	Reg. No. 22 724
Terryence F. Chapman	Reg. No. 32 549
Mark L. Maki	Reg. No. 36 589
David S. Goldenberg	Reg. No. 31 257
Sidney B. Williams, Jr.	Reg. No. 24 949
Liane L. Churney	Reg. No. 40 694
Brian R. Tumm	Reg. No. 36 328
Tricia R. Cobb	Reg. No. 44 621

Encl: Listed above

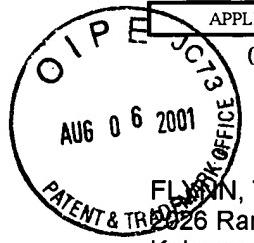
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/825,578	04/03/2001	Toshiaki Motonaga	OPS Case 529



FLYNN, THIEL, BOUTELL & TANIS, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1699

CONFIRMATION NO. 9940

FORMALITIES LETTER



OC000000006141297

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1036.
 - \$126 for 7 total claims over 20.
 - \$640 for 8 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1876.**

08/07/2001 ETULU1 00000020 09825578

01 FC:101	710.00 OP
02 FC:103	126.00 OP
03 FC:102	640.00 OP
04 FC:104	270.00 OP
05 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

MTB
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

